Introduction to Rocket Fund & FAQs

Year Founded
2015

Award Tiers
$25K, $50K, $75K

Total Amount Awarded to Date
$830K

No. of Awardees to Date
26

No. of Awardees to Receive $1m+ of Additional Funding
7

Rocket Fund
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Pasadena, CA 91125
Tel. 626.395.1968

www.flow.caltech.edu/rocket-fund

FREQUENTLY ASKED QUESTIONS

How does Rocket Fund work?
• Membership-driven granting organization
• Rocket Fund members leverage their funds to provide non-equity grants for developing minimum commercial prototypes (MCP) that allow awardees to validate their product with customers

What are Rocket Fund’s areas of technology focus?
• Wide-ranging cleantech and sustainability
• Members influence specific priorities

What does Rocket Fund do for members?
• Discovery: Finding vetted, viable, relevant technologies and teams
• Funding vehicle: A mechanism to fund early-stage companies
• Relationships: With professionals who have similar goals

How does Rocket Fund make a difference?
• Rocket Fund accelerates fragile startups to commercial success and financial independence, thereby
  • Enhancing industry partners’ pipeline of vetted, viable, and relevant clean technologies
  • Equipping emerging business leaders with needed funding and expertise at a critical phase of development
  • Companies attract further financing (e.g., investment, government grants)

Where is Rocket Fund sourcing technologies?
• Over 30 universities, including Stanford, Berkeley, Princeton, Harvard, Northwestern University, the University of California system, Cal State
• Other financing programs, including IN2 Wells Fargo, and CalSEED
• DOE national labs, including National Renewable Energy Laboratory

What are some examples of previous Rocket Fund awardees?

ETC Solar
SkyCool Systems
XStream Trucking

Who is Rocket Fund?
A private-public consortium shaping the future of cleantech and sustainability.

Want to learn more about how Rocket Fund is increasing our impact with a growing group of committed members?
Connect with Stephanie Yanchinski: Stephanie.Yanchinski@caltech.edu or 626.395.1968.
VALUE PROPOSITION

The Rocket Fund thrives as an organization driven by its elite members and their needs.

The Rocket Fund broadens and deepens members’ pool of vetted, viable, relevant technologies and teams, which may be expensive and difficult to find. Members leverage Rocket Fund grants to support desired companies strategic to their business. As part of the Rocket Fund network, members share ideas and connections with professionals who have similar goals.

MEMBERSHIP LEVELS

Members can select Club, Full or Affiliate Membership.

(See back page for annual membership levels and specific benefits.)

OUR MEMBERS
## Membership Levels and Benefits

<table>
<thead>
<tr>
<th>Benefits</th>
<th>Affiliate Membership $5,000*</th>
<th>Full Membership $20,000*</th>
<th>Club Membership $50,000*</th>
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<tr>
<td>Early sight of vetted, viable, relevant technologies</td>
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<td>Technology Advisory Committee participation</td>
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<td>Mentoring opportunities in your business sector</td>
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<td>Eligible to upgrade membership at any time</td>
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<td>Leveraged financial support for small companies of interest to you</td>
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<tr>
<td>Participation on exclusive Review and Award Panels</td>
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<tr>
<td>Rocket Fund Executive Board Membership</td>
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<tr>
<td>Special association with California’s major utilities and other business leaders</td>
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<td>Promotional Privileges to 1,000+ network</td>
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<td><strong>Concierge Service</strong></td>
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<td>Deep due diligence on candidates of your choice</td>
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<td>Facilitated meetings with awardees of your choice</td>
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<td>Grant partnership opportunities</td>
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<td>Specific solicitation requests</td>
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* Annual fee

## Membership Tracks

Rocket Fund awards fall into both cleantech and sustainability. Members may select one or both for participation, as reflected by their organization’s strategic goals.

*Cleantech* targets the technology priorities pursued by utility partners and other industry leaders (e.g. energy efficiency, demand response, data aggregation and grid management, and energy storage, and highlighted by California Energy Commission programs such as EPIC and CalSEED).

*Sustainability* broadens the scope to embrace renewable energy, carbon dioxide mitigation technologies, advanced transportation, water/energy nexus, agriculture/energy, and advanced manufacturing.