



**INTELLECTUAL PROPERTY DISCLOSURE STATEMENT**

**1. In your business plan, you identify technology that you will practice under the award. To the best of your knowledge, will you need a license to any third party intellectual property to practice that technology under the award?**

NO. (You are currently the owner of any intellectual property required to practice the technology.)

YES. (Any intellectual property required to practice the technology is owned by a third party.)

**2. To the best of your knowledge, do you currently have the intellectual property license(s) necessary to practice the technology under the award?**

YES. Please indicate how any license fees or royalties may affect the economic viability of your business plan: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

NO.

NOT APPLICABLE. (You do not need any license to practice the technology under the award.)

**3. Are you currently in the process of obtaining the intellectual property license(s) necessary to practice the technology under the award?**

YES.

NO. Please explain: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

NOT APPLICABLE. (You do not need any license to practice the technology under the award.)

4. **To the best of your knowledge, what is the likelihood that you will be able to obtain the intellectual property license(s) necessary to practice the technology under the award?**

LIKELY. Date by which you expect to obtain all necessary licenses:\_\_\_\_\_.

UNLIKELY. Please explain: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_.

UNKNOWN. Please explain: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_.

NOT APPLICABLE. (You do not need any license to practice the technology under the award.)

5. **If the intellectual property required to practice the technology under the award includes any patent, please identify the patent(s) by patent number, issue date, and owner. Include a brief (one or two line) descriptor of the subject of the patent.**

Patent No.	Issue Date	Owner

A listing of additional patents is attached.

6. **If the intellectual property required to practice the technology under the award includes any pending patent application, please identify the patent application(s) by serial number, filing date, and owner. Include a brief (one or two line) descriptor of the subject of the pending application.**

Serial No.	Filing Date	Owner

A listing of additional patent applications is attached.

7. **To the best of your knowledge, is there any legal or regulatory barrier that may prevent or hinder the execution of your business plan?**

NO.

YES. Please explain: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_.